

# North American Free Trade Agreement CERTIFICATE OF ORIGIN

(Instructions on reverse)

Please Print or Type

<b>1. EXPORTER NAME AND ADDRESS:</b>  <b>TAX IDENTIFICATION NUMBER:</b>	<b>2. BLANKET PERIOD (DD/MM/YRR)</b>  FROM:  To:				
<b>3. PRODUCER NAME AND ADDRESS:</b>  <b>TAX IDENTIFICATION NUMBER:</b>	<b>4. IMPORTER NAME AND ADDRESS:</b>  <b>TAX IDENTIFICATION NUMBER:</b>				
<b>5. DESCRIPTION OF GOODS:</b>	<b>6. H.S. TARIFF CLASSIFICATION NUMBER</b>	<b>7. PREFERENCE CRITERION</b>	<b>8. PRODUCER</b>	<b>9. NET COST</b>	<b>10. COUNTRY OF ORIGIN</b>
<p><b>I CERTIFY THAT:</b></p> <ul style="list-style-type: none"> <li>- THE INFORMATION ON THIS DOCUMENT IS TRUE AND ACCURATE AND I ASSUME THE RESPONSIBILITY FOR PROVING SUCH REPRESENTATIONS, I UNDERSTAND THAT I AM LIABLE FOR ANY FALSE STATEMENTS OF MATERIAL OMISSIONS MADE ON OR IN CONNECTIONS WITH THIS DOCUMENT;</li> <li>- I AGREE TO MAINTAIN, AND PRESENT UPON REQUEST, DOCUMENTATION NECESSARY TO SUPPORT THIS CERTIFICATE, AND TO INFORM, IN WRITING, ALL PERSONS TO WHOM THE CERTIFICATE WAS GIVEN OF ANY CHANGES THAT COULD AFFECT THE ACCURACY OR VALIDITY OF THIS CERTIFICATE;</li> <li>- THE GOODS ORIGINATED IN THE TERRITORY OF ONE OR MORE OF THE PARTIES, AND COMPLY WITH THE ORIGIN REQUIREMENTS SPECIFIED FOR THOSE GOODS IN THE NORTH AMERICAN FREE TRADE AGREEMENT, AND UNLESS SPECIFICALLY EXEMPTED IN ARTICLE 411 OR ANNEX 401, THERE HAS BEEN NO FURTHER PRODUCTION OR ANY OTHER OPERATION OUTSIDE THE TERRITORIES OF THE PARTIES; AND</li> <li>- THIS CERTIFICATE CONSISTS OF <u>  1  </u> PAGE(S), INCLUDING ALL ATTACHMENTS.</li> </ul>					
<b>11. AUTHORIZED SIGNATURE:</b>			<b>COMPANY:</b>		
<b>NAME (PRINT OR TYPE):</b>			<b>TITLE:</b>		
<b>DATE: (DD/MM/YR)</b>		<b>TELEPHONE:</b>		<b>FAX:</b>	

# North American Free Trade Agreement CERTIFICATE OF ORIGIN INSTRUCTIONS

**Field 1 Exporter:** State the full legal name, address and legal tax identification number of the exporter. Legal tax ID number is: in Canada, 9-digit Business Number & 4-digit Import/Export RM account number; in Mexico, federal taxpayer's registry number (RFC); in the United States, employer's identification or Social Security Number.

**Field 2 Blanket Period:** Complete if the certificate covers multiple shipments of identical goods for a specified period of up to one year. Only complete if this is a "blanket" certificate. Importations under preferential treatment can only occur within these dates. Show dates by Year/Month/Day (YY/MM/DD).

**Field 3 Producer:** State the full legal name, address and legal tax ID number of the producer, or "Same" if same as Exporter. If more than one producer, attach a list of the additional producers including their addresses and tax ID numbers, cross-referenced to the goods in Field 5, or state "Available to Customs upon request" if you wish this information to be confidential. It is also acceptable to state "Unknown".

**Field 4 Importer:** State the full legal name, address and legal tax ID number of the importer. If importer is unknown, state "Unknown"; if various importer: state "Various".

**Field 5 Description:** Provide a full description of all goods shipped. Ensure the description(s) relate(s) to the invoice description(s), and to the H.S. classification number(s)' description(s). If the certificate covers a single shipment, include the commercial invoice number, or other unique reference number such as shipping order number. If Field 2 is completed, do not show single shipment information.

**Field 6 HS Classification:** For each good shipped, show the HS tariff classification 6-digit subheading number (or 8-digit item if the Annex 401 rule of origin requires the tariff item). If 8 digits are required, they must be from the importing country, not the exporting country.

**Field 7 Preference Criterion:** Complete for each good described in Field 5. The data to be entered in this field is taken directly from Article 401, Annex 308.1 or Chapter 7 of the NAFTA. In order to be entitled to preferential tariff treatment, each good must meet at least one of the following criteria.

**'A' Wholly Obtained or Produced Goods:** If derived or produced from the natural resources of the U.S. Canada or Mexico, NAFTA applies under code A. The purchase of a good in the territory does not necessarily render it "wholly obtained or produced".

**'B' Originating Goods:** The good is produced entirely in the territory of one or more of the NAFTA countries, and satisfies the specific rule of origin applicable to its tariff classification as set out in Annex 401. The rule may include a tariff classification change, regional value-content requirement (RVC), or a combination thereof. The good must also satisfy all other applicable requirements of Chapter 4.

**'C' Originating Goods:** The good is produced entirely in U.S., Canada or Mexico but one or more of the materials or components are not wholly obtained or produced from the natural resources of the territory, NAFTA code C applies, if the materials qualify in their own right under their own rules of origin.

**'D' Originating Goods:** If the good incorporates unassembled or disassembled components or parts, and is listed in a heading or subheading of the HS that provides for both the good and its parts, code D1 or D2 applies. This rule does not apply to goods of Chapters 61 through 63 of the HS.

**'F' Originating Agricultural Goods:** This rule only pertains to goods listed in Appendix 703.2.B.7 which applies to trade of agricultural goods between Canada and Mexico. It specifically excludes dairy, poultry and eggs.

**Field 8 Producer:** State "Yes" if you are the producer of the good; otherwise, state "No(1)", "No(2)" or "No(3)" depending on whether this certificate was based upon: (1) your knowledge of whether the good qualifies as originating; (2) your reliance on the producer's written representation that the good qualifies as originating (other than a certificate of origin); or (3) a completed and signed certificate of origin voluntarily provided to the exporter by the producer.

**Field 9 Net Cost:** State "No". State "NC" if the rule of origin applicable to the good requires a regional value content (RVC), and the RVC is calculated under the net cost method. If the good is subject to RVC and the net cost method is used over a specific period of time, also show the beginning and ending dates of that period.

**Field 10 Country of Origin:** State country of origin: "US", "CA" or "MX", in accordance with the rules of origin regulations or the Marking Rules (for goods identified in paragraphs 7 & 8 of Annex 302.2). Enter "JNT" for goods jointly produced (other than agricultural and textile goods), if processing in the subsequent NAFTA country increases the transaction value by more than 7%.

**Field 11 Certification:** All areas of this field must be completed. The certificate must be signed by an officer with vested legal authority to sign on behalf of the Exporter, or someone who has sufficient knowledge as to the origin of the goods to make such a declaration and can access records to demonstrate the origin.